## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

#### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
imuais	100.						
		7,181,419	2/20/2007	Mesaros			
		7,213,000	5/1/2007	Gutierrez et al.			
		2004/0024684	2/5/2004	Montepeque			
		6,909,941	6/21/2005	Scott et al.			
		7,152,037	12/19/2006	Smith			

#### FOREIGN PATENT DOCUMENTS

Examiner	Cite	Foreign Document Number	Date	Country	Name of Patentee or Applicant of	Sub-Class	Translation
Initials	No.			Code	Cited Document		
		JP 2002-203112	7/19/2002	JP	Terajima		
		JP 2003-058733	2/28/2003	JP	Toyama et al.		
		JP 2003-058741	2/28/2003	JP	Kobayashi et al.		
		JP 2002-183446	6/28/2002	JP	Zumoto et al.		
		JP 2003345987	12/5/2003	JP	Inoue et al.		

#### NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published		
		Australian Examiner's Report for Application No. 2006278382, da	ted July 9, 200	9 (3 pages).
		USPTO Notice of Allowance and Fees Due for Application No. 11, pages).	/499833, date	d March 12, 2010 (9
		New AZX Session, Trader's v10 n130, October 1997, p. 4 (p.20 o	f EIC search).	
		The New Trading Landscape for Institutional Investors, Institutional Aren't Careful, Norman Harris, Institutional Investor 36, 5, S1 (7) M		
		Scientific and Technical Information Center's Search Report EIC 3 pages).	3600, dated Ju	ily 9, 2009 (88
		International Preliminary Report on Patentability for PCT Applicati January 9, 2007 (4 pages).	on No. PCT/U	S05/03164, dated
		Japanese Office Action with English translation for Application No (6 pages).	. 2006-551589	9, dated April 20, 2010
		International Preliminary Report on Patentability for PCT Application No. PCT/US05/03166, dated July 31, 2006 (4 pages).		
Examiner Signature			Date Considered	

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

#### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date

#### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation

#### NON PATENT LITERATURE DOCUMENTS

NON PATENT LITERATURE DOCUMENTS								
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published						
		Japanese Office Action with English translation for Application No (6 pages).	. 2006-551590	), dated April 20, 2010				
		International Preliminary Report on Patentability for PCT Applicati January 23, 2007 (5 pages).	on No. PCT/U	S05/03163, dated				
		Japanese Office Action with English translation for Application No. 2006-551588, dated April 27, 2010 (8 pages).						
		International Preliminary Report on Patentability for PCT Application No. PCT/US05/03168, dated February 13, 2007 (4 pages).						
		Japanese Office Action with English translation for Application No (6 pages).	. 2006-551591	, dated April 20, 2010				
		International Preliminary Report on Patentability for PCT Applicati July 31, 2006 (6 pages).	on No. PCT/U	S05/03159, dated				
	5712558, date	d December 5, 2008						
	Japanese Office Action with English translation for Application No. 2006-551587, dated April : (6 pages).  International Preliminary Report on Patentability for PCT Application No. PCT/US06/30638, d February 5, 2008 (5 pages).							
		International Preliminary Report on Patentability for PCT Applicati February 5, 2008 (5 pages).	on No. PCT/U	S06/30636, dated				
Examiner Signature			Date Considered					

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Examiner

Signature

> Date Considered

#### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date

#### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation

#### NON PATENT LITERATURE DOCUMENTS

Cite Author, Title, date, pages, volume, publisher, city and/or country where published

Initials	No.	Audior, Thie, date, pages, volume, publisher, city and/or country where published
		Donald L. Luskin, Index Options & Futures: The Complete Guide, Toyo Keizai, Inc., 9 August 1990, p. 32-35 [translation of an English book, originally published by John Wiley & Sons, Inc., 1987]
		EPO: "Mitteilung des Europaischen Patentamits vom 1. Oktober 2007 Ober Geschaftsmethoden  -Notice from the Europaen Patent Office dated 1 October 2007 concerning business methods  -Communique de l'Office europeen des brevets, en date du 1er octobre 2007, concernant les  methodes dans le domaine des activites "JOURNAL OFFICIEL DE L'OFFICE EUROPEEN DES  BREVETS OFFICIAL JOURNAL OF THE EUROPEAN PATENT OFFICE.AMTSBLATTT DES  EUROPAEISCHEN PATENTAMTS, OEB, MUNCHEN, DE, vol. 30, no. 11, 1 November 2007 (2007- 11-01), pages 592-593, YD00790552515SN: 0170-2921 (2 pages)
		USPTO Supplemental Notice of Allowance and Fees Due for Application No. 11/499496, dated May 26, 2010 (4 pages).
		USPTO Dismissal of Appeal for Application No.10/766,965, June 7, 2010 (3 pages).
		European Patent Office Communication and Official Action for Application No. 05712562.7, dated May 18, 2010 (6 pages).
		European Patent Office Communication and Official Action for Application No. 05712563.5, dated May 18, 2010 (6 pages).
		European Patent Office Communication and Official Action for Application No. 05712558.5, dated May 18, 2010 (6 pages).
		USPTO Notice of Allowance and Fees Due for Application No. 10/767588, June 25, 2010 (7 pages).